

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-11-5

In re Application of

Atty. Docket

Gutta et al.

US010569

Serial No.: Unassigned

Filed: CONCURRENTLY

Title: METHOD AND APPARATUS FOR GENERATING A STEREOTYPICAL  
PROFILE FOR RECOMMENDING ITEMS OF INTEREST USING ITEM-  
BASED CLUSTERING

Commissioner for Patents  
Washington, D.C. 20231

J1046 U.S. PTO  
10/014192  
11/13/01

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Sir:

Enclosed is a Form PTO-1449 and copies of documents listed thereon. These documents are considered to be relevant in that they have been:

- ☒ considered in drafting the specification of the above-referenced application;
- ☐ cited in the specification of the above-referenced application; or
- ☐ I hereby certify that these documents were cited in said search report not more than three (3) months ago.

If readily available, English-language counterparts have been substituted for foreign-language patent documents. This disclosure is not an admission that any of these documents is material to or even prior art with respect to the above-referenced application.

Respectfully submitted,

Date: November 13, 2001

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE	Atty. Docket No.	Serial No.
	US010569	Unassigned
	Applicant Gutta et al.	
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	Filing Date Concurrently	Group Unassigned

1046 U.S. PTO  
 10/01/92  
 11/13/01

**U.S. PATENT DOCUMENTS**

Ex. Int	Document Number	Date	Name	Class	Sub- class	Filing Date If Approp.
	5 0 4 1 9 7 2	08/20/91	Frost	364	401	
	5 8 8 4 2 8 2	03/16/99	Robinson	705	27	
	5 9 1 8 0 1 4	06/29/99	Robinson	395	200	
	6 0 4 1 3 1 1	03/21/00	Chislenko et al.	705	27	

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Sub- class	Trans.	
						Yes	No
	EP 1 0 2 4 4 3 7 A2	02/08/00	Europe				

**OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Cost et al., "A Weighted Nearest Neighbor Algorithm for Learning with Symbolic Features,"
	Kittler et al., "Combining Classifiers," Inter'l Conf. on Pattern Recognition, Vol. II Track B, 897-901, (1996)
	Stanfill et al., "Toward Memory-Based Reasoning," Communications of the ACM, Vol. 29, 1213-1228, (1986)

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.